

Randomized Controlled Trial (RCT)

Napaphat Poprom., Ph.D. in Clinical Epidemiology
Department of Surgery, Faculty of Medicine,
Ramathibodi Hospital, Mahidol University



Outline

- History of RCT
- RCT
 - Randomization or Random allocation
 - Allocation Concealment
 - Blinding
 - Analysis
- Conduction RCT
- Trial Registration



History of RCT

- The first random allocation of patients is attributed to James Lind, a surgeon, in 1747.
- Lind randomly assigned 12 sailors to 6 different (lemon & orange)candidate treatments for scurvy.
- The first RCT in medicine is credited to Sir A. Bradford Hill, an epidemiologist for England's Medical Research Council. Published in the British Medical Journal in 1948.



Clinical Trial

A systematic study on pharmaceutical products in human subjects in order to discover or verify the effects of and/or identify any adverse reaction to investigational products.

Main objectives are efficacy and safety.



Clinical trial phases

	Phase II	Phase III	Phase IV	
Phase I	111110	1,000,3,000	Thousands of participants	
20-80	100-300 participants	1,000-3,000 participants		
participants Up to several months	Up to (2) years	One (1) - Four (4) years	One (1) year +	
Studies the safety of medication/treatment	Studies the efficacy	Studies the safety, efficacy and dosing Phases of Clinical Trials(1),jpg	Studies the long-term effectiveness; cost effectiveness	
70% success rate	33% success rate	25-30% success rate	70-90% success rate	

การทดสอบในคน (Clinical trial) ระยะที่ 1 ระยะที่ 2 ระยะที่ 4 การทดลอง ระยะที่ 3 ก่อนการ ทดสอบใน การพิจารณา Au (Pre-**000 08.** clinical) เพื่อยืนยัน ประสิทธิภาพ และความ ปลอดภัย อาสาสมัคร ผู้ป่วย ผู้ป่วย ติดตามหลัง กลุ่มเล็ก กลุ่มใหญ่ สุขภาพดี นำออกสู่ตลาด



Randomized controlled trial (RCT)

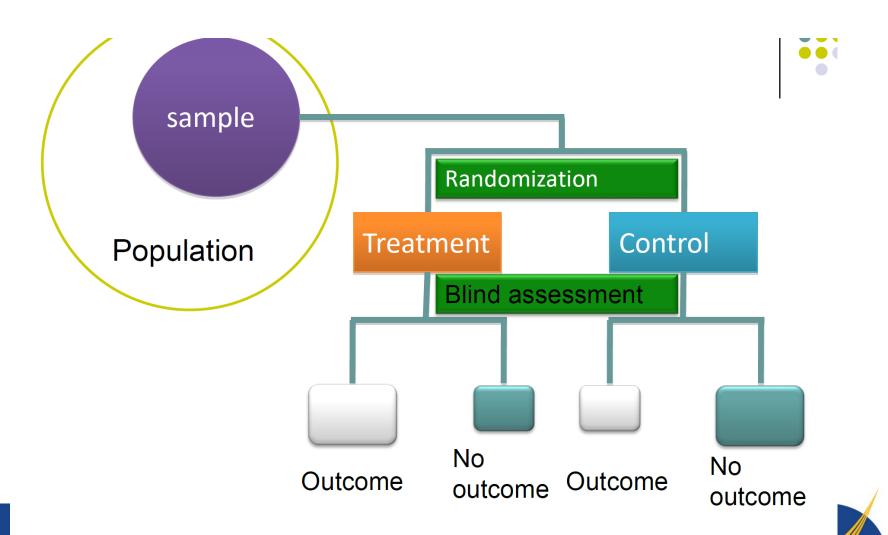


RANDOMIZATION OR RANDOM ALLOCATION

- Allocation of individuals to groups by chance.
- Randomization should make the control and the experimental groups similar at the start of the investigation and ensure that personal judgment of the investigator do not influence allocation.
- All subjects in the sample have same probability of being assigned to the experimental or control group.
- Underlying factors the may affect the outcome are equivalent for each group.



Randomized Control Trial





Why Conduct a Randomization?

- To ensures that known and unknown person and environment characteristics that could affect the outcome of interest are evenly distributed across conditions.
- To equalizes the influence of nonspecific processes not integral to the intervention whose impact is being tested.

(Nonspecific processes might include effects of participating in a study, being assessed, receiving attention, self-monitoring, positive expectations, etc.)

Why balance is important?

Table I. Baseline characteristics in the minimal access spine surgery (MASS) and the open surgery groups (OS).

Characteristics MASS Group, n=23 OS group, n=26 p-Value²

Table 1 General characteristics of study groups

Table 1. Baseline characteristics.				
"No levetiracetam" $(n = 35)$	Levetiracetam $(n = 41)$	p value		
55.9 (8.9)	54.6 (12.4)	0.6		
9/26	14/27	0.5		
5.2 (2.2)	6.7 (3.1)	0.01		
335 (105)	354 (125)	0.5		
ain retraction				
5	4	0.7		
30	34	1		
0	3	0.2		
rtical injury				
4	5	1		
29	32	0.8		
2	4	0.7		
20.4	19.1	0.8		
	"No levetiracetam" (n = 35) 55.9 (8.9) 9/26 5.2 (2.2) 335 (105) ain retraction 5 30 0 rtical injury 4 29 2	"No levetiracetam" $(n = 35)$ Levetiracetam $(n = 41)$ 55.9 (8.9) 9/26 14/27 5.2 (2.2) 335 (105) 354 (125) ain retraction 5 4 30 34 0 3 rtical injury 4 5 29 32 4		

Spinal level of the f decompressed duril TH 5-8 TH 9-12 L 1-3

¹Values are percenta variables with a ske with a normal distri tests for categorical

in years (mean ± SD)			1.1 ± 0.1 years	
Duration of peri-implant mucositis in days (mean \pm SD)	5 ± 1.2 days	6 ± 0.2 days	6.3 ± 0.1 days	
Toothbrushing once daily (n)	15	15	15	
Daily flossing	None	None	None	
The implants were located in the regio	n of a missing premolar or molar.			

มหาวิทยาลัยมหิดล

Group 3

 41.4 ± 0.5 years 43.1 ± 0.2 years 40.5 ± 0.3 years

16 10:6

16* 4:12 16

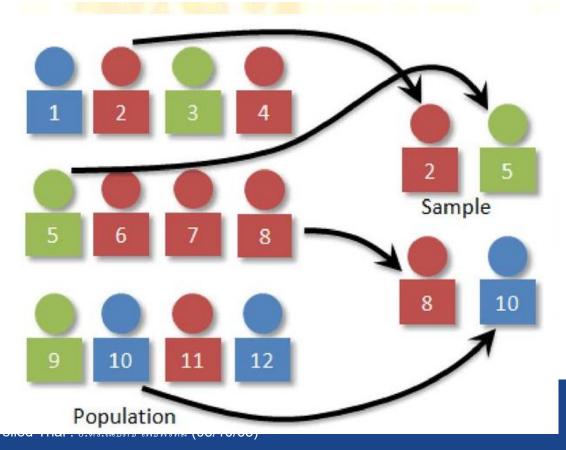


Types of randomization



Simple randomization

• การสุ่มอย่างง่าย(Simple random sampling) เป็นการสุ่มตัวอย่างเมื่อประชากรมีลักษณะ ใกล้เดียงกัน เช่น การจับสลาก การโยนเหรียญ



Slide 12/51



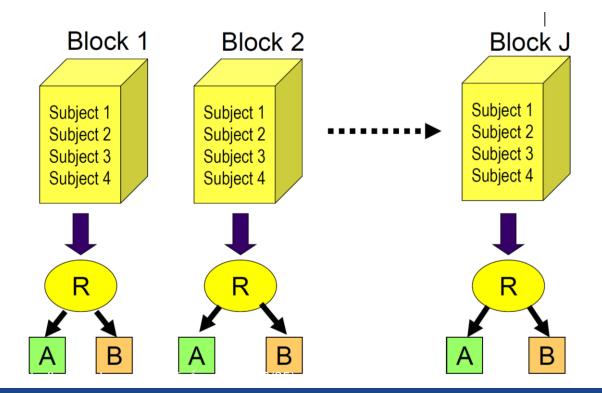
Block Randomization

- Blocked randomization reduces the risk that different numbers of people will be assigned to the treatment (T) and control (C) groups.
- Blocked randomization offers the advantage that at any point in the trial, there will be a balance in the number of cases assigned to T versus C.



Block Randomization

- Report allocation ratio.
- The random method of selection.
- Block size.





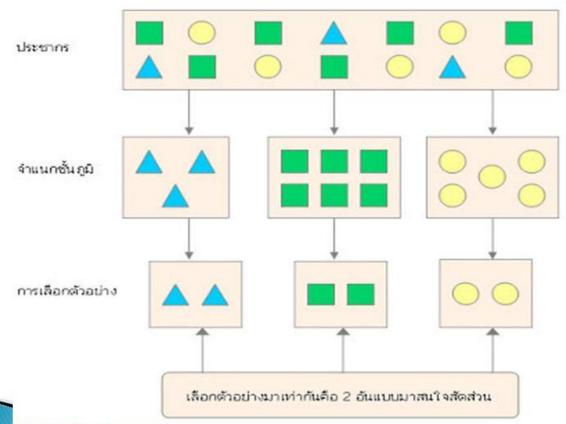
Stratified random sampling

Ensure that the treatment and control arouns are

balanced on iminfluence the stuethnicity, age, s

Use when it is be may affect the

Achieving programmer
 stratification price





Cluster random sampling

Population Sample Group Clusters Clusters (2 Clusters)



Example

• Not able to read, write and understand Dutch or English.

*Deep endometriosis extends beneath the peritoneum and uterosacral ligaments, pelvic sidewalls, rectovaginal septum bladder or ureter (Dunselman et al., 2014).

The study schedule is presented in the flowchart (F meeting the inclusion and exclusion criteria will be ver about the study by their physician who will provide the information. Each subject will be informed that particulated is voluntary and that withdrawal of consent wher right to the most appropriate treatment or affect doctor relationship. If a patient agrees to participate after the consideration time of I week, written info will be obtained after which randomisation will be Women will be randomly allocated in a I:I ratio to or treatment with medication, with use of dynamic brandomisation with blocks of 2, 4 or 6. Stratification by performed.

Women who decline randomisation due to a spec preference for one of the treatments will be asked to a prospective cohort according to the study protocol outcome of the randomised trial (successful pain red reduction of pain) measured by the NRS after 6 m presented as the headline result. Addition of the prospensives us to:

Randomisation

Patients will be randomised 1:1 to undergo either intravenous contrast CT or native CT. Randomisation sequence will be generated using a computer software with variable block size (4, 6 and 8) and will be concealed from recruiters, attending physicians, patients, data collectors and data analysts. Randomisation will be done using webbased computer system. Randomisation sequence will be stratified for:

- eGFR 15 to <30 vs 30 to $45 \,\mathrm{mL/min}/1.73 \,\mathrm{m}^2$
- ► Patient age <65 vs 65 years or over
- Centre

As the attending physicians need to be able to analyse the CT images, the study is open-label. After a patient gives written informed consent, the attending physician performs randomisation and orders a CT scan.

Outcomes

The primary outcome is a composite that combines all-cause mortality and RRT within 90 days of CT. The secondary outcomes are: (1) the most severe AKI

Slide 17/51



ALLOCATION CONCEALMENT

- Generation of an *unpredictable* randomized allocation sequence.
- It represents the first crucial element of randomization in a RCT.
- Allocation concealment refers to the technique used to implement the sequence, not to generate it.
- Allocation concealment means that the person who generates the random assignment remains blind to what condition the person will enter.



How to conceal?

Local: Sequentially numbered, opaque envelopes

Sequentially numbered, opaque, sealed envelopes (SNOSE)

Central: Computer-based
 Web-based response system





Example

Randomisation, sequence concealment and blind

All eligible participants will be randomly either group R or the group I in a ratio of 1:1 software (R Foundation for Statistical Comp random allocation sequence will be computed by an independent researcher who has no any participant and will not be involved in t research. The participants' respective treat (group R or group I) will be sealed in an o lope and will only be opened after the enrol participants in the study. An investigator wil sible for enrolling patients, obtaining conse requesting randomisation.

This study is an open-label study whereby pants, the personnel who carry out the inter the outcome assessor cannot be blinded be nature of the intervention. However, the rese percentages for the qualitative variables, and as position are responsible for the statistical analysis will to the allocation.

The study included pregnant women whose infants were below 60 days of age, according to the following inclusion criteria: having a landline or cell phone and practicing EBF during hospitalization in the roomingin facility. The exclusion criteria were the following: medium- and high-risk mothers and infants, or preterm infants who were not able to be breastfed, as well as postpartum women with communication difficulties, e.g., with a hearing disability or who did not speak Portuguese.

Randomization was performed with numbered, opaque, and sealed envelopes indicating the group to which each woman would be allocated, which were opened by the women themselves or by a companion.

The sample size was estimated by a pilot test (52 subjects in each group), totaling 104 participants. The data were described as absolute frequencies and and dispersion measures for the quantitative variables. The details of the investigation process (Figure 1) followed the Consolidated Standards of Reporting Trials (CONSORT) recommendations.



BLINDING

Potential benefits of blinding

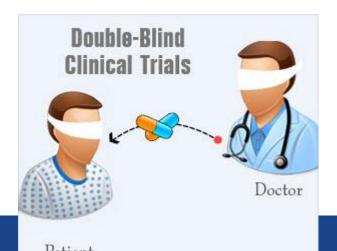
Individuals	Potential benefits
Patients	-Less likely to have biased psychological or physical responses to intervention
	-More likely to comply with trial regimens
	-Less likely to seek additional adjunct interventions
Clinicians	-Less likely to differentially administer co-interventions
	-Less likely to differentially adjust dose
	-Less likely to differentially withdraw participants
	-Less likely to differentially encourage or discourage participants to continue trial
Assessors	-Less likely to have biases affect their outcome
	assessments, especially with subjective outcomes of
	interest



What to look for in descriptions of blinding?

- Single-blind
- Double-blind
- Triple-blind

****State explicitly who was blinded, and how.****





Example

standardized. The patients were discharged based on the clinical discharge criteria [33]. The participating surgeons and the anesthesiologists had completed the learning curves of the respective interventions.

Randomization and blinding

Randomization (1:1) was performed by a dedicated electronic

Outcomes

The primary endpoi the experimental gr remission rate. Seco tion; additional ana ery (mobilization, f stays.^[11] Therefore, we designed the randomized controlled research to look for the optimal intravenous dexamethasone dose for the treatment of early postoperative pain after the THA. We assumed that the patients who received 3 doses of dexamethasone intravenously possessed the best postoperative results compared to those who received 1 or 2 doses of the dexamethasone.

2. Materials and methods

2.1. Participants

The Declaration of Helsinki principles was followed and the Consolidated Standards of Reporting Trials guidelines for randomized controlled trials was adhered in this study. The trial was registered prior to patient enrollment via the Research Registry (researchregistry 5864). The First Medical Center in People's Liberation Army General Hospital approved the study (2020-089). After written informed consent was obtained, patients aged between 18 and 80 years with Physical Status I to III of American Society of Anesthesiologists, scheduled for primary unilateral THA, were included in this present work.

The exclusion criteria involved revision surgery; prior ipsilateral hip surgery; exhibited sensitivity or allergy to dexamethasone, opioids, or any other drugs used in the study; ankylosing spondylitis; systemic lupus erythematosus; daily intake of strong opioids or dexamethasone; have a history of alcohol abuse or intravenous drug use.

2.2. Randomization and blinding

Randomization is the use of a computer-formed list via a secretary (Research randomizer, www.randomizer.org), at a

ratio of 1:1:1, each block has 50 numbers. Each participant received a serial research number from 1 to 150 and they also received treatment assigned in accordance with a randomized list. The list was kept and available to only 2 nurses preparing study medications. They do not interact with patients. All other outcome evaluators, participants, and clinicians were blind to this intervention. When all the selected patients completed this study, the randomization key was broken for the first time (Fig. 1).

2.3. Intervention measures

Patients in the group A were given 1 dose of dexamethasone (10 mg) intravenously before the anesthesia induction, and then 2 doses of the normal saline (2 ml) was added after 24 hours and 48 x0200A; hours. Patients in the group B were given 1 dose of dexamethasone (10 mg) intravenously before the anesthesia induction, and 1 dose of dexamethasone (10 mg) after 24 hours, and afterward, these patients received another 1 dose of the normal saline after 48 hours. And patients in the group C were given 1 dose of dexamethasone (10 mg) intravenously before the anesthesia induction, and then 2 doses of the dexamethasone (10 mg) were added after 24 hours and 48 hours. The clinical staff who administered the medication were unaware of the composition of individual test preparation. All the researchers also conducted blind study on these 3 groups.

2.4. Intraoperative management

In the operating room, the patients inhaled oxygen through the mask to maintain blood oxygen saturation above 94%. General

Protocol



elanterä,3





What should you do when blinding is impossible?

- Strict to treatment protocol: to prevent unequal co-intervention
- Equally intense follow-up of experimental and control patients.
- External outcome adjudicators: to reduce biased outcome measurement.



Analysis

Intention to treat(ITT)

- Analysis according to the assigned group.
- Sample size and power.
- Characteristics balance with minimizes bias.
- Increasing the chance of a positive/negative results.

Per-protocol

- Analysis only patients who adhered to the clinical trial instructions as specified in the study protocol.
- Not included subject who cross-over and loss to follow up (bias).
- Dissimilarities between groups.



Analysis

As treated

- Analysis classifies RCT participants according to the treatment that they received rather than according to the treatment that they were assigned to.
- Confounding in the same way as an observational study





Conduction RCT



Structured of Randomized control trial (PICO)

- Population ????
- Intervention ????
- Comparison ????
- Ontcome śśśś



Populations

- The study population should be defined in advance, stating unambiguous inclusion (eligibility) criteria.
- The selection criteria will have impact on study design and ability to generalize.
- Subject recruitment must be taken into account.



How to set inclusion & exclusion criteria

Include:

- Subjects who have the potential to benefit from the intervention.
- Subjects who have high likelihood to show event of interest
- Subjects who are likely to comply with the study protocol.

Exclude:

- Subjects who have the potential to harm from the intervention.
- Subjects at high risk of developing conditions which preclude the ascertainment of the event of interest.



Intervention

- Is it ethical?
- Is it feasible?
- Is the intervention well enough developed to permit evaluation? (especially surgical procedures or psychological therapies).
- Is there preliminary evidence that The intervention is likely to be beneficial (from observational studies).



Study intervention

"5W 1H"

- What is the intervention?
- Who will administrate the intervention?
- Whom will be administrated the intervention?
- Where the intervention will be administrated?
- When the intervention will be administrated?
- How the intervention will be administrated?



Comparison

Active control

- Superiority
- Non-inferiority (equivalence)

Inactive control

- No treatment control
- Placebo control



Outcome (endpoint)

Clinical outcome

 Clinical events (perceivable by patient) e.g. death, stroke, or myocardial infarction.

Intermediate outcome

- Surrogate outcome e.g. serum creatinine, degree of glucose control, or patency of coronary arteries.
- Quality of life measurement, pain score.
- Economic evaluation e.g. cost-effectiveness.

Composite outcome



Guideline of RCT



CONSORT 2010 checklist of information to include when reporting a randomised trial*

Section/Topic	Item No	Checklist item		Reported on page No
Title and abstract				
	1a			
	1b			assessing outcomes) and how
I4			11b	If relevant, description of the similarity of interventions
Introduction	•	Statistical methods	12a	Statistical methods used to compare groups for primary and secondary outcomes
Background and	2a		12b	Methods for additional analyses, such as subgroup analyses and adjusted analyses
objectives	2b	Results		
Methods		Participant flow (a	13a	For each group, the numbers of participants who were randomly assigned, received intended treatment, and
	0	diagram is strongly		were analysed for the primary outcome
Trial design	3a	recommended)	13b	For each group, losses and exclusions after randomisation, together with reasons
	3b	Recruitment	14a	Dates defining the periods of recruitment and follow-up
Participants	4a		14b	Why the trial ended or was stopped
4k	4b	Baseline data	15	A table showing baseline demographic and clinical characteristics for each group
Interventions	5	Numbers analysed	16	For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups
Outcomes	6a	Outcomes and estimation	17a	For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval)
		ooumation.	17b	For binary outcomes, presentation of both absolute and relative effect sizes is recommended
	6b	Ancillary analyses	18	Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing
Sample size	7a	· ····, · · · · · · · · · · · · · · · ·		pre-specified from exploratory
	7b	Harms	19	All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)
Randomisation:		Discussion		
Sequence	8a	Limitations	20	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses
generation	8b	Generalisability	21	Generalisability (external validity, applicability) of the trial findings
Allocation	9	Interpretation	22	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence
	Э	Other information		<u> </u>
concealment		Registration	23	Registration number and name of trial registry
mechanism		Protocol	24	Where the full trial protocol can be accessed, if available
Implementation	10	Funding	25	Sources of funding and other support (such as supply of drugs), role of funders

^{*}We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see www.consort-statement.org.



Pros & Cons of RCT

Pros

- Confounding variables can be balance by Randomization.
- Blinding of subjects, medical staff and investigators are achievable.

Cons

- Costly in term of time and money.
- Dropout or loss to follow-up are common events.
- Need time for final results.



Trial Registration



Trial Registration

• The International Committee of Medical Journal Editors (ICMJE) announced that all trials starting enrolment after July 1, 2005 must be registered prior to consideration for publication in one of the 12 member journals of the Committee.



Slide 38/51



Slide 39/51

Why is Trial Registration Important?

- เพื่อให้เกิดความโปร่งใสในการทำงานวิจัย
- เปิดโอกาสให้ผู้ป่วยได้มีส่วนร่วมในงานวิจัยเพิ่มขึ้น
- เพื่อลดดวามซ้ำซ้อนและสิ้นเปลืองทรัพยากรวิจัยของโลก/ประเทศ
- เพื่อเป็นแหล่งข้อมูลที่สำคัญสำหรับผู้กำหนดนโยบาย นักวิจัย และ

ຜູ້ປ່ວຍ





Primary Registries in the WHO Registry Network



Find Studies ▼

About Studies ▼

Submit Studies ▼

Resources ▼

About Site ▼

PRS Login

ClinicalTrials.gov is a database of privately and publicly funded clinical studies conducted around the world.

Explore 391,404 research studies in all 50 states and in 219 countries.

See <u>listed clinical studies</u> related to the coronavirus disease (COVID-19)

ClinicalTrials.gov is a resource provided by the U.S. National Library of Medicine.

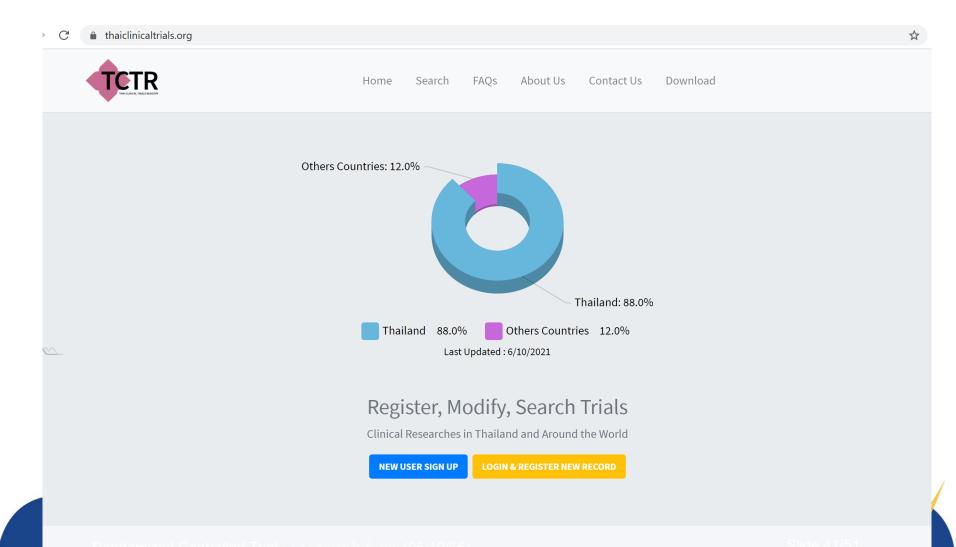
IMPORTANT: Listing a study does not mean it has been evaluated by the U.S. Federal Government. Read our <u>disclaimer</u> for details.

Before participating in a study, talk to your health care provider and learn about the <u>risks and</u> <u>potential benefits</u>.

Find a study (all fields optional)		
Status 19		
O Recruiting and not yet recruiting studies		
All studies		
Condition or disease (For example: breast cancer)		
	x	
Other terms ① (For example: NCT number, drug name, investigator n	name)	
	x	
Country 1		
	X Slide 40/51	

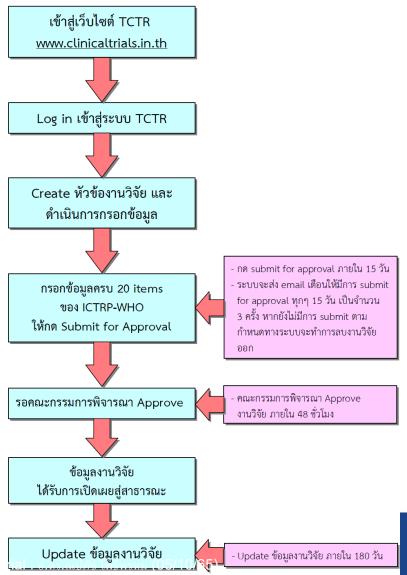


TCTR: Thai Clinical Trials Registry





Step of Clinical Trials Registry





Thai Clinical Trials Registry

≡ Home

Logout

anapaphat.pro@mahidol.edu

UID: napaphat.pro@mahidol.edu
Registered User

MENU

Create New Record

Draft Records

Submitted Records

Released Records

PROFILE

■ User Profile

Terms and Conditions of Use

By using this web site, you are agreeing to comply with the current Terms and Conditions of Use. The content of these Terms and Conditions of Use can be updated at any time without prior notice. The Terms and Conditions are as follows, without any particular order:

- You must comply with any applicable local laws; those from where you originate, where the research might be carried out and Thai laws.
- You will not share your username/password with anybody.
- You acknowledge that the data this site, Thai Clinical Trials Registry (TCTR), provides is "as is" and that TCTR has no responsibilities for the accuracy, the currency or the validity of the data.
- In no circumstances shall TCTR be liable to damages caused by loss of data, disruption of service, technical failure, breach in security, or delay of responses in any jurisdictions.
- Once a registration number has been issued, no data will be deleted. However, only the most current data may be displayed.
- We might share the data you enter with other persons, organizations, institutions, websites or anybody we deem appropriate without informing
 anybody.
- If you are a registrant of a trial, you must also
 - Acknowledge that to comply with ICMJE's clinical trials registration requirements, the registration must be done and completed before the enrollment of the first subject.
 - Once you start the registration process but have not completed it, please complete it as soon as possible. You will be reminded by email to
 complete the registration every 15 days for 3 times after which time your incomplete record will be deleted from the system. And if you want
 to continue with registration, you will have to re-enter all the information again.
 - o Update the data of your registration in a timely manner and at least once every 6 months after the completion of your registration.
 - $\circ~$ Be responsible for the accuracy, the currency and the validity of the data you enter.
 - o Make sure that your registration will not be and has not been entered into our database more than once either by you or others.

To use this website, you must agree to all the aforementioned terms and conditions without exception.

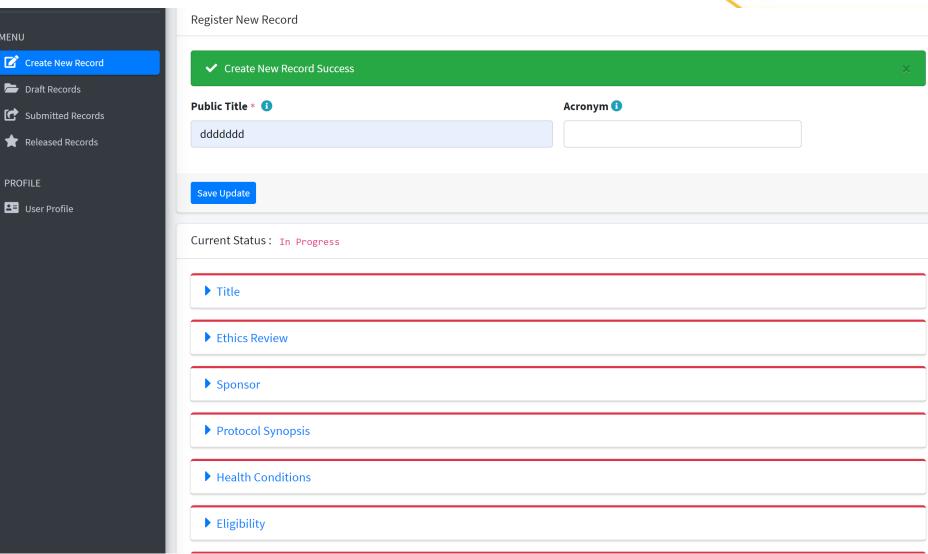
NOT ACCEPT

ACCEPT



Thai Clinical Trials Registry	■ Home		Logout
UID : napaphat.pro@mahidol.edu Registered User			anapaphat.pro@mahidol.edu
MENU	Register New Record		
MENU			
Create New Record	Public Title * 1	Acronym 1	
Draft Records			
Submitted Records			
Released Records	Create Record		
PROFILE			
User Profile			

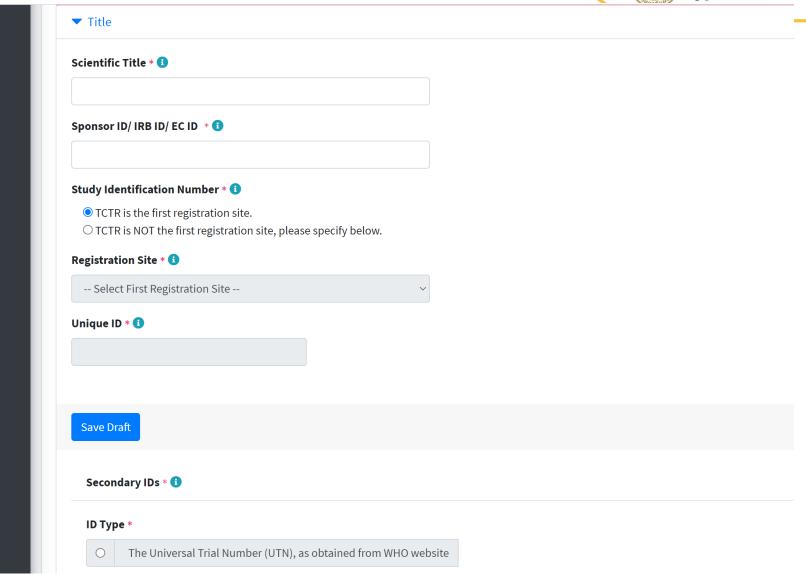




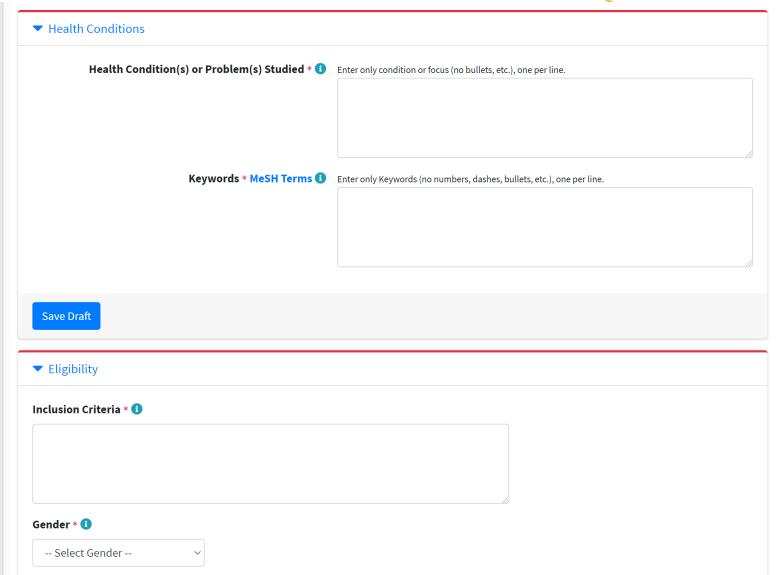


▶ Eligibility	
▶ Status	
▶ Design	
▶ Outcome	
▶ Locations	
▶ Summary Results	
Deidentified Individual Partic	ipant-level Data (IPD) Sharing
▶ Publication from this study	
	Information incomplete, unsubmittable!
	SAVE ALL VERIFY INCOMPLETE INFORMATION





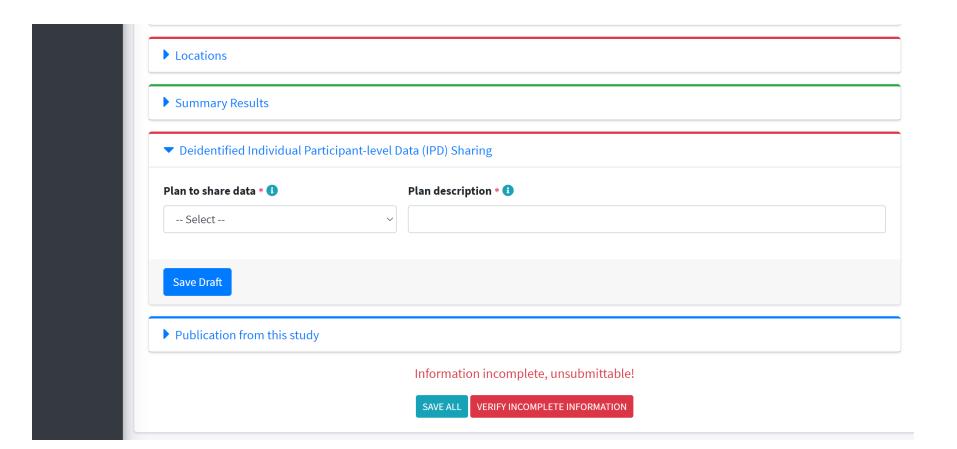






Outcome Name * 📵	Primary Outcome 🕕			
Specify a variable name only		Metric/Method of		
Metric / Method of measurement * 🗓	Outcome Name	measurement	Time point	Options
Not statistical method		No data available	in table	
Time point * 📵	Showing 0 to 0 of 0 on	rios		
	Showing 0 to 0 of 0 en	ries		
Save Draft				
Save Draft				
	Secondary Outcome 6			
Outcome Name * 🗓	Secondary Outcome (
Save Draft Outcome Name * 1 Specify a variable name only	Secondary Outcome (Metric/Method of		
Outcome Name * 1 Specify a variable name only	Secondary Outcome (Time point	Options
Outcome Name * 1 Specify a variable name only Metric / Method of measurement * 1		Metric/Method of measurement		Options
Outcome Name * 1 Specify a variable name only		Metric/Method of		Options
Outcome Name * 1 Specify a variable name only Metric / Method of measurement * 1 Not statistical method	Outcome Name	Metric/Method of measurement No data available		Options
Outcome Name * 1 Specify a variable name only Metric / Method of measurement * 1		Metric/Method of measurement No data available		Options
Outcome Name * 1 Specify a variable name only Metric / Method of measurement * 1 Not statistical method	Outcome Name	Metric/Method of measurement No data available		Options







Thank you